|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| AUDIT REPORT SUBMISSION | | | | | | | |
| Proposed title: | |  | | | | | |
| Name(s) of entity or entities subject to audit[[1]](#footnote-1): | |  | | | | | |
| Name of sectoral audit topic area (if any): | |  | | | | | |
| Proposed tabling date: | |  | | | | | |
| Audit Principal: | |  | | | | | |
| Lead Director: | |  | | | | | |
| Planning | | | | | | | |
| What will the audit examine and exclude? | | | | | | | |
|  | | | | | | | |
| Why is it important to audit this area, and why is it important to audit the topic now? (Refer to the Strategic Audit Plan.) | | | | | | | |
|  | | | | | | | |
| **Which of the** [**UN sustainable development goals**](http://cmsprd.oag-bvg.gc.ca/intranet/audit/guidance/OAG-UN_SD_Goals_16150E.pdf) **and/or associated targets and indicators will be covered by the audit? Which GBA+ considerations will be covered in this audit?** | | | | | | | |
|  | | | | | | | |
| Does the program being audited provide services directly to individual Canadians, businesses or other stakeholders? If so, how does the proposed audit incorporate examination of the impacts on individual Canadians, businesses or other stakeholders of how these services are delivered (e.g., timely, accessible, consistently)? | | | | | | | |
|  | | | | | | | |
| Do you plan to pursue a collaborative audit approach with Provincial Auditors? Could this audit be contracted out (external audit team)? | | | | | | | |
|  | | | | | | | |
| Identify any significant risks for the Office (e.g. sensitivity, complexity, auditability and resource availability) | | | | | | | |
|  | | | | | | | |
| Planned starting date: | | | |  | | | |
| Value Added (VALAD) Statement(s) | | | | | | | |
| State the expected outcomes of the performance audit identified to date justifying doing the audit (business case). (For further information, see [*Guidance to Integrate Value Added into the Performance Audit Process*](http://cmsprd.oag-bvg.gc.ca/intranet/performance-audits/21564_ENC_HTML_PROD.shtm)) under the Audit Guidance section of OAG Audit 4042). | | | | | | | |
| Assurance to be provided: | |  | | | | | |
| Advice (recommendations) to address gaps – problems-risks: | |  | | | | | |
| Informational – improving transparency/enhancing understanding: | |  | | | | | |
| Other benefits: | |  | | | | | |
| Resource Requirements | | | | | | | |
| Existing Product Code (if any)[[2]](#footnote-2): | | | |  | | | |
| Estimated breakdown of direct audit costs (hours, contract and travel) | | | | | | | |
|  | | Hours | | | Contract | Travel | |
| FY 1: 202\_–\_\_ | |  | | |  |  | |
| FY 2: 202\_–/\_\_ | |  | | |  |  | |
| FY 3: 202\_–\_\_ | |  | | |  |  | |
| Total audit | |  | | |  |  | |
| Audit Principal signature | Original signed by: | |  | | | Date: |  |

1. The Engagement Leader must inform the [Comptroller](mailto:Comptroller@oag-bvg.gc.ca) if the list of entities changes. [↑](#footnote-ref-1)
2. If this audit is already set up in Product Costing, you must provide the product number and reflect any proposed budget changes in the table above. [↑](#footnote-ref-2)